

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER A2700	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/522856	
INTERNATIONAL APPLICATION NO. PCT/GB2003/003310		INTERNATIONAL FILING DATE 30 JULY 2003		PRIORITY DATE CLAIMED 2 AUGUST 2003	
TITLE OF INVENTION CABLE OPERATED DRUM BRAKES					
APPLICANT(S) FOR DO/EO/US DAVID PEASLEY, LUIGI TESSITORE, MICHAEL JOHN LOUIS MACDONALD, AND WILLIAM ERENST HAINES					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNSIGNED) 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <u>CERTIFICATION OF EXPRESS MAILING</u>					

U.S. APPLICATION NO. (If known, enter 37 CFR 1.53) 10/522856				INTERNATIONAL APPLICATION NO. PCT/GB2003/003310		ATTORNEY'S DOCKET NUMBER A2700	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): <div style="margin-left: 40px;"> Basic National Stage Fee..... \$300.00 National Stage Search Fee \$500.00 National Stage Examination Fee.... \$200.00 </div>						CALCULATIONS PTO USE ONLY 	
ENTER APPROPRIATE BASIC FEE AMOUNT =						\$ 500.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	22 - 20 =	2	x \$50.00	\$ 100.00			
Independent claims	2 - 3 =	-0-	x \$200.00	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =				\$ 600.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						\$	
SUBTOTAL =				\$ 600.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	
TOTAL NATIONAL FEE =				\$ 600.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
TOTAL FEES ENCLOSED =				\$ 600.00			
						Amount to be refunded: \$	
						charged: \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>600.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> PAUL E MILLIKEN 9061 WALL STREET, NW MASSILLON, OH 44646-1676 </div> <div style="width: 35%; text-align: center;"> SIGNATURE PAUL E MILLIKEN NAME 22,403 REGISTRATION NUMBER </div> </div>							

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DAVID PEASLEY ET AL

DOCKET NO. A2700

Serial No.:

Group No.

Filed: (HEREWITH)

Examiner:

For: CABLE OPERATING DRUM BRAKES

Commissioner for Patents

P O Box 1450

Alexandria, VA 22313-1450

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE (37 CFR 1.10(c))

I hereby certify that I have, in accordance with 37 CFR 1.10, deposited the papers or fees referred to below

- ☐ new application transmittal and papers noted therein
☐ filing under 37 CFR 1.60 and papers noted therein
☐ file wrapper continuing application (FWC) 37 CFR 1.62 and papers noted therein
☒ other US NATIONAL PHASE OF PCT APPLICATION

and attached to this Verified Certification is a copy of these papers or fees identified above from in the file of this application with the United States Postal Service on the date of JANUARY 31, 2005 in an envelope "Express Mail Post Office to Addressee" bearing Mailing Label Number ER 614228390 US, as shown on the attached copy of the "Express Mail" Receipt.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

PAUL E MILLIKEN

(Typed or printed name of person making this verified statement)

Date JAN 31, 2005

(Signature of person making this verified statement)

NOTE: "If more than a reasonable time has elapsed between the certificate date and the Patent and Trademark Office receipt date or if other questions regarding the date of mailing are present, the person mailing the paper or fee may be required to file a copy of the "Express Mail" receipt showing the actual date of mailing and a statement from the person who mailed the paper or fee averring to the fact that the mailing occurred on the date certified. Such statement must be a verified statement if made by a person not registered to practice before the Patent and Trademark Office." 37 CFR 1.10(c).

ER614228390US